

10/587210

IAP11 Rec'd PCT/PTO 25 JUL 2006

STOP PCT  
Attorney Docket: 27542U  
Date: July 25, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OHYAMA, Minoru

Appl. No.: Not Yet Assigned

Filed: July 25, 2006

Intl. Application No.: PCT/JP2005/000173

Intl. Filing Date: January 11, 2005

Title: OPTICAL DEVICE AND OPTICAL PICKUP DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following particulars: [NOTE: One only of items a, b, c, and d must be checked.]

- a. ☒ [XXX] The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- b. ☐ [ ] The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.  
The enclosed statement is accompanied by [check one]:  
☐ i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or  
☐ ii. a check in the amount required by 37 C.F.R. 1.17(p).
- c. ☐ [ ] The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee.  
☐ [ ] Certification report(e) below; and  
☐ [ ] a check in the amount as required by 1.17(p).
- d. ☐ [ ] The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

- e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

[ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

[ ] Appropriate certification is attached.

- f. [XXX] If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

- g. [XXX] Copies of the documents are attached herewith with a completed Form PTO-1449.

or

[ ] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

and / or

[ ] Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

10/587210

IAP11 Rec'd PCT/PTO 25 JUL 2006

Attorney Docket No.: 27542U  
Application No.: Not Yet Assigned  
Page 3

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By: 

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<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b>			In re Application of: ONYAMA, Minoru		
			Application No: Not Yet Assigned		Filed: July 25, 2006
			Intl. Application No.: PCT/JP2005/000173		Intl. Filing Date: January 11, 2005
			<b>FOREIGN PATENT DOCUMENTS</b>		
Examiner Initial	Document Number	Publication Date	Country	Translation	
A1	2001-202647	July 27, 2001	JP	Abstract	
A2	2002-092902	March 29, 2002	JP	Abstract	
A3	2003-151169	May 23, 2003	JP	Abstract	
A4	2002-123967	April 26, 2002	JP	Abstract	
A5	2002-109759	April 12, 2002	JP	Abstract	
A6	08-017069	January 19, 1996	JP	Abstract	
A7	09-274730	October 21, 1997	JP	Abstract	
A8	2002-260273	September 13, 2002	JP	Abstract	
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Examiner			Date Considered		
<small>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP ' 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</small>					